## MEMBERSHIP APPLICATION





| ·  |   |                         |  |     |                                       |  |                          |                   |     |  |
|--|---|-------------------------|--|-----|---------------------------------------|--|--------------------------|-------------------|-----|--|
| Applicant details  |   |                         |  |     |                                       |  |                          |                   |     |  |
| FSP  | name *  |                         |  |     | FSP num                               | ber *  |                          |                   |     |  |
| Trading name   |   |                         |  |     | Website                               |  |                          |                   |     |  |
| Type of business   |   |                         |  |     |                                       | Please tick the category that best describes your business |                          |                   |     |  |
| ✓  | Financial service(s) provided *                       |                         |  |     |                                       | nformatio  | Indicate below           |                   |     |  |
|  | Financial adviser (individual)                        |                         |  |     |                                       | Information not required for individual advisers           |                          |                   |     |  |
|  | Financial Advice Provider (FAP)/ Authorised Body (AB) |                         |  |     |                                       | Number of advisers   |                          |                   |     |  |
|  | Lender  |                         |  |     |                                       | lue of loar  | NZD                      |                   |     |  |
|  | Fund Manager  |                         |  |     |                                       | Dollar value of funds under management                     |                          |                   |     |  |
|  | Transactional Service Provider                        |                         |  |     |                                       | lue of tran  | NZD                      |                   |     |  |
|  | Issuer of Securities                                  |                         |  |     |                                       | e of secur   | NZD                      |                   |     |  |
|  | Insurer   |                         |  |     |                                       | lue of prer  | NZD                      |                   |     |  |
| Crowd Funders / P2P Lenders  |   |                         |  |     | Transactions/lending amount per annum |  |                          |                   | NZD |  |
| In your own words, tell us a little about the financial service you provide  |   |                         |  |     |                                       |  |                          |                   |     |  |
| Ado  | dress details   |                         |  |     | C                                     | contact details provided will be public on our website     |                          |                   |     |  |
| Physical address *  Please provide a place of business in New Zealand  |   |                         |  |     | Postal ac                             | ldress *   |                          |                   |     |  |
|  |   | Suburb: City: Postcode: |  |     | (If different)                        |  |                          |                   |     |  |
|  |   |                         |  |     |                                       |  |                          |                   |     |  |
|  |   |                         |  |     |                                       |  |                          |                   |     |  |
| Communications contact   |   |                         |  |     |                                       |  |                          |                   |     |  |
| Full   | name *  |                         |  |     | Phone *                               |  |                          |                   |     |  |
| Email *  |   |                         |  |     | Mobile                                |  |                          |                   |     |  |
| Complaints contact   |   |                         |  |     |                                       |  |                          |                   |     |  |
| Full   | name *  |                         |  |     | Phone *                               |  |                          |                   |     |  |
| Ema  | ail *   |                         |  |     | Mobile                                |  |                          |                   |     |  |
| Previous DRS membership  |   |                         |  |     |                                       |  |                          |                   |     |  |
| Are you moving from another dispute resolution scheme? *   |   |                         |  | Yes | No                                    | If ye  | es, please attach the ca | ancellation email |     |  |
| Confirmation   |   |                         |  |     |                                       |  |                          |                   |     |  |
| I confirm that I have read and agree to be bound by the  |   |                         |  |     |                                       |  |                          |                   |     |  |
| Terms of Participation and the scheme rules set out in FSCL's Terms of Reference.  You can find copies of the Terms of Participation and the |   |                         |  |     | Full name *                           |  |                          |                   |     |  |
|  |   |                         |  |     | Signature *                           |  |                          |                   |     |  |
| You can find copies of the Terms of Participation and the Terms of Reference on <a href="https://www.fscl.org.nz">www.fscl.org.nz</a>        |   |                         |  |     |                                       |  |                          |                   |     |  |
| How did you find out about us?   |   |                         |  |     |                                       |  |                          |                   |     |  |